



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#8  
6-11-01  
P.2.  
PATENT

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TECH CENTER 1600/2900

In re Application of:  
Harrington, *et al.*

Application No.: 09/484,895

Filed: January 18, 2000

For: **COMPOSITIONS AND METHODS  
FOR NON-TARGETED ACTIVATION  
OF ENDOGENOUS GENES**

Group Art Unit: 1632

Examiner: Nguyen, Q.

Attorney Docket No.: 0221-0003G

**BOX DD**  
Commissioner of Patents  
Washington, D.C. 20231

**THIRD SUPPLEMENTAL  
INFORMATION DISCLOSURE STATEMENT**

Sir:

Listed on accompanying Form PTO-1449 are documents that may be considered material to the examination of this application in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98. A copy of document AI2 is submitted herewith. The cite number is based on the last cite number in the Information Disclosure Statement

Where the publication date of a listed document does not provide a month of publication, the year of publication of the listed document is sufficiently earlier than the effective U.S. filing date and any foreign priority date so that the month of publication is not in issue. Applicants have listed publication dates on the attached PTO-1449 based on information presently available

to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicants also bring to the Examiner's attention co-pending related Application Nos. 09/276,820, 09/455,659, 09/479,122, 09/479,123, 09/481,282, 09/481,355, 09/481,375, 09/484,317, 09/484,331, 09/484,743, 09/484,996, 09/484,997, 09/513,574, 09/513,575, 09/513,997 and 09/760,897.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith. It is further understood that the Examiner will consider information that had been cited or submitted to the U.S. Patent and Trademark Office in a prior application relied on under 35 U.S.C. § 120. 1138 OG 37, 38 (May 19, 1992).

This Information Disclosure Statement is being filed in accordance with 37 C.F.R. § 197(b)(3), before the mailing of a first Office Action on the merits.

It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO-1449, and to indicate in the official file wrapper of this patent application that the documents have been considered.

It is believed there is no fee required in the filing of this document. The Commissioner is hereby authorized to charge any fee deficiency, or credit any overpayment, to Deposit Account No. **50-0622**.

Respectfully submitted,

SHANKS & HERBERT

*Anne Brown*

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Reg. No. 36,463

Date: June 4, 2001

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